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S O S K O D  
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ATTORNEY'S DOCKET: IFD/046

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: :  
ECKHARD ALT :  
Serial No. 09/735,401 : Group Art Unit: 3731  
Filed: 12/12/2000 : Examiner: (not yet designated)

Title: TUBULAR STENT WITH OVAL STRUTS

EXPRESS MAIL CERTIFICATE

Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231

Express Mail Label No. EK 850 476 103 US

Date of Deposit: September 7, 2001

I hereby certify that the following attached papers:

- (1) Document Transmittal;
- (2) Copy of Notice to File Missing Parts;
- (3) Executed Combined Declaration and Power of Attorney;
- (4) Executed Assignment and Recordation Form therefor;
- (5) Substitute drawings (3 sheets);
- (5) Checks (2) to Commissioner totaling \$655.00 (incl \$40.00 Fee for recording Assignment); and
- (6) Postcard (stamped, addressed) for acknowledging receipt.

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above, addressed to the Commissioner for Patents, United States Patent and Trademark Office, Washington, D.C. 20231.

Donald R. Greene  
P.O. Box 12995  
Scottsdale, AZ 85267-2995  
Tel: (602) 488-9895

DRG/IFDCRTE2 046/09071



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**DOCUMENT TRANSMITTAL**

1. Transmitted herewith is a response to the Notice to File Missing Parts dated 05/10/01 (Formalities Letter, copy enclosed) for this application.
2. A small entity verification was filed in Serial No. 09/186,573, of which this application is a continuation. Applicant and assignee remain small entities. The fee is calculated as follows:

FEE CALCULATION (Small Entity)						
	Number of Claims	-	Number extra	Rate (Small Entity)	\$355.00	Basic Fee
Total Claims	8	- 20	= 0	x \$9.00	=	0.00
Indep Claims	2	- 3	= 0	x \$40.00	=	<u>0.00</u>
TOTAL FEE (Basic + Extra Claims)						\$355.00

3. Substitute drawings complying with 37 CFR 1.84 are also enclosed herewith.
4. Applicant petitions for a two month extension of time to respond to the Notice. Enclosed are checks to the Commissioner totaling \$655.00 for basic fee of \$355.00, surcharge of \$65.00, extension fee of \$195.00, and assignment recording fee of \$40.00.

By

Donald R. Greene  
Registration No. 22,470  
P.O. Box 12995  
Scottsdale, AZ 85267-2995  
Telephone: (480) 488-9895  
Facsimile: (480) 488-5654

Date: 09/07/2001

DRG/IFDDOCXM 046/09071



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/735,401	12/12/2000	Eckhard Alt	IFD/046

## CONFIRMATION NO. 4641

## FORMALITIES LETTER



\*OC00000005704762\*

Donald R. Greene  
Post Office Box 12995  
Scottsdale, AZ 85267-2995

Date Mailed: 05/10/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 420.**

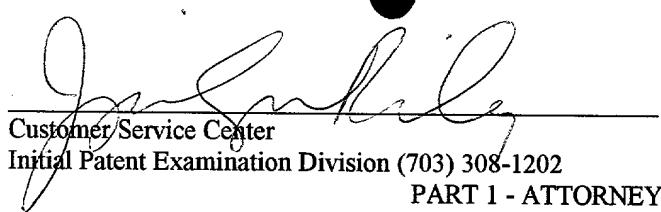
The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

09/13/2001 RHARIS1 00000018 09735401  
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195.00 OP  
01 FC:201 355.00 OP  
02 FC:205 65.00 OP  
04 FC:216



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Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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